## Haimin Hu

CONTACT INFORMATION	F310/B313 Engineering Quadrangle Princeton, NJ 08544, United States +1 (510) 561-8285	haiminhu.org Google Scholar haimin@cs.jhu.edu
RESEARCH INTERESTS	My vision is to enable human-centered robotic systems that can be deployed, verified, and trusted. I work on new algorithms and theorems centered around dynamic game theory, integrating insights from control systems safety, numerical optimization, deep reinforcement learning, and generative AI. The core of my research is to close the interaction and learning loop by imbuing the robot's decision model with a representation of its own evolving uncertainty as well as that of others.	
Professional Positions	Johns Hopkins University, Baltimore, MD Assistant Professor, Department of Computer Science	2026
	<b>University of Pennsylvania</b> , Philadelphia, PA Postdoctoral Scholar, Department of Electrical and Systems Engineering	2025 - 2026
	<b>Toyota Research Institute</b> , Cambridge, MA PhD Research Intern, Human-Interactive Driving Team	2023
	<b>Honda Research Institute USA, Inc.</b> , San Jose, CA PhD Research Intern	2022
	National Institute for Nuclear Physics & University of Padova, Padova, Inductional Learning Research Intern	taly 2017
EDUCATION	Princeton University, Princeton, NJ Ph.D. & M.A. in Electrical and Computer Engineering	2020 - 2025
Advisor: Jaime Fernández Fisac Main collaborators: Naomi Ehrich Leonard, Bartolomeo Stellato, Andrea Bajcsy Thesis: Game-Theoretic Integration of Safety and Learning for Human-Centered R		
	University of Pennsylvania, Philadelphia, PA M.S.E. in Electrical Engineering	2018 - 2020
	Advisors: George Pappas, Manfred Morari, Nikolai Matni	
	<b>University of California, Berkeley</b> , Berkeley, CA <i>Visiting student in Electrical Engineering and Computer Sciences</i> Advisor: Claire Tomlin	2017 - 2018
	ShanghaiTech University, Shanghai, China B.E. in Electronic and Information Engineering Advisor: Boris Houska	2014 - 2018
HONORS AND AWARDS	<b>RSS Pioneer</b> "30 world's top early-career researchers in robotics."	RSS Foundation, 2025
	CPS Rising Star "30 outstanding PhD students and postdocs in Cyber-Physical Systems (CPS)."	NSF, 2025
	HRI Pioneer	ACM/IEEE, 2024

IEEE Control Systems Society, 2024

RSS Safe Autonomy Workshop, 2024

 $"25\ world's\ top\ student\ researchers\ in\ human-robot\ interaction\ (HRI)."$ 

Roberto Tempo Best CDC Paper Nominee

**Best Presentation Award** 

**Best Poster Award** Workshop on Optimization, Learning, and Control, 2024 **Outstanding Presentation Award** Princeton Research Day, 2024 **Outstanding Research Award** University of Pennsylvania, 2020 Awarded to 2 electrical engineering masters.

**Outstanding Graduate** Shanghai Municipal Education Commission, 2018 President's Scholarship ShanghaiTech University, 2017 Merit Student for Excellence in Research ShanghaiTech University, 2017

Awarded to 1 undergraduates across all majors.

Scholarship for Overseas Study

Scholarship for Academic Excellence ShanghaiTech University, 2015

**FUNDING** 

#### Toyota Research Institute (TRI) University Research Program TRI, 2024 - 2026 Main proposal writer (PIs: Profs. Jaime Fisac and Naomi Leonard), Grant total: \$754,000

**ICRA** Travel Support IEEE RAS, 2025 **Bede Liu Fund for Excellence (Travel Grant)** Princeton University, 2022 - 2025 **WAFR Travel Grant** NSF & IFRR, 2022, 2024 **Toby and Jack Wolf Travel Grant** Princeton University, 2022 First Year Fellowship in Natural Sciences and Engineering Princeton University, 2020 - 2021 **GAPSA Professional Travel Grant** University of Pennsylvania, 2018

ShanghaiTech University, 2016 - 2018

#### **CONFERENCE PUBLICATIONS**

- [C16] D. D. Oh\*, J. Lidard\*, H. Hu, H. Sinhmar, E. Lazarski, D. Gopinath, E. Sumner, J. DeCastro, G. Rosman, N. E. Leonard, J. F. Fisac. Safety with Agency: Human-Centered Safety Filter with Application to AI-Assisted Motorsports, Robotics: Science and Systems (RSS), 2025.
- [C15] H. Hu, J. F. Fisac, N. E. Leonard, D. Gopinath, J. DeCastro, G. Rosman. Think Deep and Fast: Learning Neural Nonlinear Opinion Dynamics from Inverse Dynamic Games for Split-Second Interactions, IEEE International Conference on Robotics and Automation (ICRA), 2025.
- [C14] J. Wang\*, H. Hu\*, D. P. Nguyen, and J. F. Fisac. MAGICS: Adversarial RL with Minimax Actors Guided by Implicit Critic Stackelberg for Convergent Neural Synthesis of Robot Safety, Algorithmic Foundations of Robotics (WAFR) XVI, 2024.
- [C13] H. Hu\*, G. Dragotto\*, Z. Zhang, K. Liang, B. Stellato, and J. F. Fisac. Who Plays First? Optimizing the Order of Play in Stackelberg Games with Many Robots, Robotics: Science and *Systems (RSS)*, 2024.
- [C12] J. Lidard\*, H. Hu\*, A. Hancock, Z. Zhang, A. G. Contreras, V. Modi, J. DeCastro, D. Gopinath, G. Rosman, N. E. Leonard, M. Santos, and J. F. Fisac. Blending Data-Driven Priors in Dynamic Games, Robotics: Science and Systems (RSS), 2024.
- [C11] H. Hu\*, Z. Zhang\*, K. Nakamura, A. Bajcsy, and J. F. Fisac. Deception Game: Closing the Safety-Learning Loop in Interactive Robot Autonomy, Conference on Robot Learning (CoRL), 2023. Best Presentation Award at the 2024 RSS Safe Autonomy Workshop.
- [C10] H. Hu, K. Nakamura, K. Hsu, N. E. Leonard, and J. F. Fisac. Emergent Coordination Through Game-Induced Nonlinear Opinion Dynamics, IEEE Conference on Decision and Control (CDC), 2023. Nominated for the Roberto Tempo Best CDC Paper Award.
- [C9] H. Hu and J. F. Fisac. Active Uncertainty Reduction for Human-Robot Interaction: An Implicit Dual Control Approach, Algorithmic Foundations of Robotics (WAFR) XV, 2022. Invited extension for IJRR special issue.

<sup>\*</sup> indicates equal contribution.

- [C8] L. Lindemann, H. Hu, A. Robey, H. Zhang, D. V. Dimarogonas, S. Tu, and N. Matni. Learning Hybrid Control Barrier Functions from Data, Conference on Robot Learning (CoRL), 2020.
- [C7] H. Hu, M. Fazlyab, M. Morari, and G. J. Pappas. Reach-SDP: Reachability Analysis of Closed-Loop Systems with Neural Network Controllers via Semidefinite Programming, *IEEE Conference on Decision and Control (CDC)*, 2020.
- [C6] A. Robey\*, H. Hu\*, L. Lindemann, H. Zhang, D. V. Dimarogonas, S. Tu, and N. Matni. Learning Control Barrier Functions from Expert Demonstrations, *IEEE Conference on Decision and Control (CDC)*, 2020.
- [C5] **H. Hu**, K. Gatsis, M. Morari, and G. J. Pappas. Non-Cooperative Distributed MPC with Iterative Learning, 21st IFAC World Congress, 2020.
- [C4] H. Hu, K. Gatsis, M. Morari, and G. J. Pappas. Tuning Communication Latency for Distributed Model Predictive Control, IFAC Conference on Networked Systems (NecSys), 2019.
- [C3] X. Feng, H. Hu, M. E. Villanueva, and B. Houska. Min-Max Differential Inequalities for Polytopic Tube MPC, American Control Conference (ACC), 2019.
- [C2] H. Hu, Y. Pu, M. Chen, and C. J. Tomlin. Plug and Play Distributed Model Predictive Control for Heavy Duty Vehicle Platooning and Interaction with Passenger Vehicles, IEEE Conference on Decision and Control (CDC), 2018.
- [C1] H. Hu, X. Feng, R. Quirynen, M. E. Villanueva, and B. Houska. Real-Time Tube MPC Applied to a 10-State Quadrotor Model, *American Control Conference (ACC)*, 2018.

# JOURNAL PUBLICATIONS

- [J4] H. Hu, D. Isele, S. Bae, and J. F. Fisac. Active Uncertainty Reduction for Safe and Efficient Interaction Planning: A Shielding-Aware Dual Control Approach, *The International Journal of Robotics Research (IJRR)*, 2023.
- [J3] K. Hsu, H. Hu, and J. F. Fisac. The Safety Filter: A Unified View of Safety-Critical Control in Autonomous Systems, Annual Review of Control, Robotics, and Autonomous Systems, 2023.
- [J2] H. Hu, K. Nakamura, and J. F. Fisac. SHARP: Shielding-Aware Robust Planning for Safe and Efficient Human-Robot Interaction, *IEEE Robotics and Automation Letters (RA-L)*, 2022.
- [J1] M. Chen\*, S. L. Herbert\*, H. Hu, Y. Pu, J. F. Fisac, S. Bansal, S. Han, and C. J. Tomlin. FaSTrack: A Modular Framework for Real-Time Motion Planning and Guaranteed Safe Tracking, *IEEE Transactions on Automatic Control (TAC)*, 2021.

#### **PREPRINTS**

[P1] K. Liang, H. Hu, R. Liu, T. L. Griffiths, and J. F. Fisac. RLHS: Mitigating Misalignment in RLHF with Hindsight Simulation, 2024.

### TEACHING

Safety-Critical Robotic Systems (Graduate) Princeton University, Fall 2021, 2022

Head Assistant in Instruction; Delivered a guest lecture on robust planning; Instructor: Jaime F. Fisac

Model Predictive Control (Graduate) University of Pennsylvania, Spring 2020

Head Teaching Assistant; Delivered a guest lecture on invariant sets; Instructor: Manfred Morari

Science and Art Summer School (Undergraduate) University of Padova, Summer 2024

Lecturer in Robotics; Taught human–robot interaction; Course Director: Ernesto Carafoli

#### INVITED TALKS

UPenn GRASP Student Faculty Industry (SFI) Seminar	2025
Johns Hopkins University, CS, ECE & LCSR Joint Seminar	2025
Duke University, MEMS Department Seminar	2025
Texas A&M University, CS & ECE Joint Seminar	2025
George Washington University, MAE Department Seminar	2025
University of Colorado Boulder, ECEE Department Seminar	2025

North Carolina State University, ECE Department Seminar UCSD Safe Autonomous Systems Lab	2025 2024
From Gambits to Assurances: Game-Theoretic Integration of Safety and Learning for Hi Centered Robotics	uman-
IEEE CDC Workshop on Bifurcations for Control Constructing Nonlinear Opinion Dynamics for Split-Second Game-Theoretic Interactions	2024
Scania CV AB   KTH Royal Institute of Technology The Safety Filter: A Unified View of Safety-Critical Control in Autonomous Systems	2024
University of Padova Science and Art Summer School Robotics: Toward Safer and More Efficient Interaction with Humans	2024
Northeast Systems and Control Workshop  Human-Aware Safety Filters: A Unified View of Safety-Critical Control, Learning, and Intera	2024 action
UPenn Vijay Kumar Lab   CMU Safe AI Lab   UIUC Human-Centered Autonomy Lab Who Plays First? Optimizing the Order of Play in Stackelberg Games with Many Robots	2024
Creative Convergence Workshop Nonlinear Opinion Dynamics Meets Game Theory: Split-second Decisions for Interactive Ro	2023 obotics
Toyota Research Institute Learning to Break Indecisions in Multi-Agent Interaction	2023
University of Colorado Boulder   Honda Research Institute USA, Inc. Active Uncertainty Reduction for Human–Robot Interaction	2022
Princeton University, Robotics Seminar Shielding-Aware Robust Planning for Safe and Efficient Human–Robot Interaction	2021
ETH Zürich, Institute for Dynamic Systems and Control Distributed Model Predictive Control for the Internet-of-Things	2020
University of Pennsylvania, Department of Electrical and Systems Engineering Plug and Play Distributed MPC for Heavy Duty Vehicle Platooning	2018
Chinese Academy of Sciences, Shanghai Branch Real-time Tube-based Model Predictive Control	2018
UC Berkeley, Hybrid Systems Laboratory Model Predictive Control for Fast and Guaranteed Safe Motion Planning	2017
PhD students Donggeon David Oh (PhD student at Princeton ECE) Justin Wang (PhD student at Princeton ECE) Madison Bland (PhD student at Princeton ECE)	
Master's students Albert Gimó Contreras (visiting student at Princeton, recipient of the "La Caixa" Fellowship Xinyi Liu (UMich/Honda Research Institute → PhD at UNC/NCSU Joint Department of BM	
Undergraduate students	

Kensuke Nakamura (Princeton ECE  $\rightarrow$  PhD student at CMU)

ADVISING AND MENTORSHIP

Vikash Modi (Princeton ECE → SpaceX) Elle Lazarski (Princeton ECE)

#### **OUTREACH**

#### Program Co-organizer, Princeton AI4ALL

2022 - 2024

The summer camp teaches AI technology and policy to high school students from diverse backgrounds, to broaden access to AI and inspire our future leaders to use it ethically.

#### Lecturer in Robotics, University of Padova Science and Art Summer School

The summer school offers broad topics in science and art to undergraduate students from diverse backgrounds.

#### **OPEN-SOURCE SOFTWARE**

BranchAndPlay: An efficient solver that finds the socially-optimal Stackelberg (leader-follower) equilibrium for general-sum dynamic games.

KLGame: An efficient solver for non-cooperative dynamic games with Kullback-Leibler (KL) regularization with respect to a general, stochastic, and possibly multi-modal reference policy.

DeceptionGame: Approximate HJI Reachability analysis for robot safety in joint physical-belief spaces using adversarial reinforcement learning.

OpinionGame: Automatic synthesis of nonlinear opinion dynamics using dynamic games.

**JaxRacing**: JAX-based implementation of ILQR for safe autonomous racing.

**DualControlHRI**: Active uncertainty reduction for human-robot interaction using dual control.

SHARP: A human-aware motion planner that preempts costly safety-enforcing maneuvers triggered by unlikely human actions.

Learning CBFs: Learning a provably valid control barrier function (CBF) from expert data.

MEDIA COVERAGE Data drives quicker, safer decisions for race cars and robots Who plays first? Using game theory to coordinate many robots Princeton EQuad News, 2024 Princeton Research, 2024

#### PROFESSIONAL ACTIVITIES

#### **Associate Editor**

• IEEE Robotics and Automation Letters (RA-L)

2024 - 2027

#### Workshop & Seminar Organization

• HRI Pioneers, Program Chair

• ICRA Workshop on Public Trust in Autonomous Systems, Main Organizer

2024 2024

• Princeton Robotics Seminar, Main Organizer

2024 - 2025

#### **External Reviewer for Journals**

- International Journal of Robotics Research (IJRR)
- IEEE Transactions on Robotics (T-RO)
- Automatica
- IEEE Transactions on Automatic Control (TAC)
- IEEE Transactions on Control Systems Technology (TCST)
- IEEE Transactions on Control of Network Systems (TCNS)
- IEEE Robotics and Automation Letters (RA-L)
- IEEE Control Systems Letters (L-CSS)
- International Journal of Robust and Nonlinear Control
- Optimal Control Applications and Methods

- IET Control Theory & Applications
- Chemical Engineering Science
- IEEE Access

#### **External Reviewer for Conferences and Workshops**

- Robotics: Science and Systems (RSS)
- Conference on Robot Learning (CoRL)
- Algorithmic Foundations of Robotics (WAFR)
- IEEE International Conference on Robotics and Automation (ICRA)
- IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS)
- IEEE Conference on Decision and Control (CDC)
- American Control Conference (ACC)
- IFAC World Congress
- Annual Learning for Dynamics & Control Conference (L4DC)
- International Conference on Neuro-symbolic Systems (NeuS)
- IFAC Conference on Networked Systems (NecSys)
- Annual Conference on Information Sciences and Systems (CISS)
- RSS Safe Autonomy Workshop
- ICRA Workshop on Bridging the Lab-to-Real Gap